



Profile Portfolio
Investor Profile Questionnaire

Prepared for:

Investor Profile Questionnaire

Investment Experience and Analysis

Asset Management Services Group, Inc., was founded in 1996 to provide employees with the tools to match their risk tolerance and investment timetable with a variety of carefully-assembled and constantly-monitored portfolios. Our investment philosophy is to deliver consistent, long-term performance through the use of uniquely constructed asset allocation portfolios... all monitored and managed on a daily basis. The purpose of this questionnaire is to help us determine your timetable and specific needs. By completing it, you will be matched with one our portfolios that suits your individual tolerance for risk and time horizon.

Name: _____ Date: _____

At what age do you plan to retire? _____

1. As an investor, where would you place yourself on the following scale?

1	2	3	4	5	6	7	8	9	10
Minimize losses and fluctuations as much as possible			A balanced investment mix with some fluctuation and some growth				Maximum growth assets with tolerance of risk or fluctuation		

2. What is your age?

- A. Under 25
- B. 25 to 34
- C. 35 to 44
- D. 45 to 54
- E. 55 to 65
- F. Over 65

3. Do you expect to have large cash needs at some particular time in the future? (Such as buying a home, paying for college, health-related expenses, retirement, starting a new business, etc.) If more than one, please check the earliest time.

- A. No, I do not expect to have such a cash need
- B. Yes, in 16-20 years.
- C. Yes, in 10-15 years.
- D. Yes, in 5 - 9 years.
- E. Yes, in less than 5 years.

4. Some people want their investments primarily to grow in value and secondarily to bring regular income. Others seek regular income primarily rather than growth. These objectives may be long-term (5 years or longer), medium-term (2 to 5 years), or short-term (up to 2 years). Which statement best reflects your objective and its needs?

- A. To have my investments grow in value over the years rather than receive a regular income from my investment. I am investing for the long term.
- B. To have my investment grow in value, though I am investing for the medium term.
- C. To receive regular income from my investment, even though I am investing for the long term
- D. To receive regular income rather than having my investment grow in value, though both are important to me because I am investing for the medium term.
- E. To receive regular income rather than having my investment grow in value, because I am investing primarily for the short term.

5. People save money for several purposes. An obvious one is that you should always have money set aside for emergencies. But, you may also be saving for other reasons, such as a dream vacation in the not-too-distant future or retirement many years away. The main purpose for the money you are now considering for investment is to:

- A. Start or add to my retirement fund, which I do not anticipate using for 20 years or more.
- B. Start or add to a “nest egg,” which I do not anticipate needing for the foreseeable future.
- C. Start or add to a “savings fund,” which I may use for a rainy day in 5 to 10 years.
- D. Save for a special purpose in the near future.
- E. Get a slightly higher return than I get in a certificate of deposit or savings account.

6. How much do you expect your annual income to vary over the next 2 years?

- A. Increase substantially
- B. Increase somewhat
- C. Keep pace with inflation
- D. Decrease
- E. Decrease Substantially

7. Comparatively, how much income do you expect to have available for discretionary purposes, savings and investments over the next 2 years?

- A. Substantially more than I have now
- B. Somewhat more than I have now
- C. About the same as I have now
- D. Somewhat less than I have now
- E. Substantially less than I have now

8. Investment markets fluctuate. While the long-term direction has been generally upward, there have also been periods of decline. From a practical standpoint, not considering your views about taking risks, how easily could you replace investment declines with future higher income?

- A. Very easily
- B. Easily with some planning
- C. It would be difficult
- D. It would be very difficult
- E. Impossible

9. Now consider your personal feelings about watching the ups and downs of the markets. If you owned investments, how would the rises and falls in the market effect you emotionally?

- A. Would not affect me
- B. Would affect me minimally
- C. Would indirectly affect me
- D. Would directly affect me
- E. Would dramatically affect me

10. What would you do with your investments if the market fell?

- A. Not take my money out regardless of how severe the decline was
- B. Consider taking my money out of the market only if the decline was substantial
- C. Probably take my money out of the market if the decline was substantial
- D. Take my money out even if the decline was not substantial
- E. Take my money out no matter how small the decline was

11. Which statement best describes your investment preferences?

- A. I invest primarily to increase the value of my investment
- B. I invest to receive regular payments, even though that means somewhat smaller potential for my investment to grow.
- C. I invest primarily to receive regular payments from my investment, and increases in value are of little importance.
- D. My desire to preserve my investment is primary and outweighs my desire to have it increase in value or to receive payments from it.

I understand that the information on this questionnaire will help Asset Management Services Group's investment professionals recommend the portfolio that best suits my individual tolerance for risk and time horizon. That recommendation will be made based on the information I provided. I understand that if there is a substantial change in my financial situation or investment objective, I will notify Asset Management Services Group.

Signature _____ Date _____